

Definition										
Ref	Compo-site	Risk short name	Cause	Event	Effect	Primary Risk Category	Secondary Risk Category	Owner	Key officers	Main Risk Response
FC01	Crit. Service	Safeguarding Children	The council and / or multi- agency partners failing to follow procedures or to undertake a thorough assessment	The council and / or multi- agency partners providing inappropriate intervention or no intervention.	Children not being protected from harm.	Service Delivery	Reputation	Lucy Townsend	Jen Salter	Treat
FC02	Contracts	Not able to find suitable placements for LAC	Insufficient choice of placements including lack of adoption and foster places	No choice of placements at time of need	CYP placed in less than optimum placement which does not suit the needs of the child or more expensive than needed.	Service Delivery	Financial	Lucy Townsend	Greg Lewis Deb Barlow Helen Jones	Treat
FC03		Primary Permanent Exclusions and Secondary alternative provision	No appropriate school place available for a hard to place primary age child or alternative provision arrangements in secondary schools breakdown	Child being excluded from primary school or secondary school defaulting on alternative arrangements agreements	Excluded primary or secondary school pupils are not appropriately educated.	Reputation	Financial	Lucy Townsend	Nick Breakwell	Treat
FC04	Finance: Savings delivery	SEND Budget	Higher number of young people subject to SEND ECHP and placement costs	Increased demand and insufficient choice of cost effective solutions	Budget overspend due to requirement to provide statutory SEND services	Financial		Lucy Townsend	Nick Breakwell	Treat
FC05	Finance: Unforeseen Demand	Overspend in the Children's service placement budget	The need to accommodate all Children in Care	The choice of placements becomes limited as the demand for placements increases	Money needed to be found from other budgets to cover overspend.	Financial	Service Delivery	Lucy Townsend	Deb Barlow	Tolerate
FC06	Info Gov	Data breach	Failure to manage information effectively in keeping with Data Protection Act Principles leading to reportable incidents and potential data breaches	Sensitive information inappropriately disclosed either verbally, on paper or electronically.	Disclosure of personal data results in Risk and distress to individuals concerned, potential fines from Information Commissioners Office (ICO), reputational damage and loss of confidence in the authority	Legal	Reputation	Lucy Townsend	all HOS (Nick Breakwell)	Treat

Q1 2019/20												
Ref	Compo-site	Risk short name	Q1 Inherent Impact	Q1 Inherent Likelihood	Q1 Inh Risk Rating	Q1 DoT	Q1 Mitigation Actions	Q1 Actions RAG	Q1 Residual Impact	Q1 Residual Likelihood	Q1 Res Risk Rating	Q1 Comments
FC01	Crit. Service	Safeguarding Children	4	3	12	▶	Link to Risk Action Plan	Amber	4	2	8	Caseloads have reduced further and are sustainable but the target isn't yet achieved of no more than 20 per social worker. There is a recruitment and retention strategy and action plan in progress which is reviewed at SMT.
FC02	Contracts	Not able to find suitable placements for LAC	3	3	9	▶	Link to Risk Action Plan	Amber	3	3	9	
FC03		Primary Permanent Exclusions and Secondary alternative provision	3	3	9	▲	Negotiations on secondary SLA are making progress, but still not all schools are signed up. Primary Permanent exclusions continue to rise	Amber	3	3	9	
FC04	Finance: Savings delivery	SEND Budget	4	3	12	▲	Link to Risk Action Plan	Amber	3	3	9	High Needs Block DSG remains under significant pressure. Review underway but actions will be long term
FC05	Finance: Unforeseen Demand	Overspend in the Children's service placement budget	3	3	9	▲	Link to Risk Action Plan	Amber	2	2	4	
FC06	Info Gov	Data breach	3	2	6	▲	Link to Risk Action Plan	Amber	3	2	6	

Q2 2019/20													
Ref	Compo-site	Risk short name	Q2 Inherent Impact	Q2 Inherent Likelihood	Q2 Inh Risk Rating	Q2 DoT	Appetite check	Q2 Mitigation Actions	Q2 Actions RAG	Q2 Residual Impact	Q2 Residual Likelihood	Q2 Res Risk Rating	Q2 Comments
FC01	Crit. Service	Safeguarding Children	4	3	12	▶	-	Link to Risk Action Plan	Amber	4	2	8	Caseload monitoring continues to take place at SMT chaired by the Director monthly and fortnightly at the Performance and Outcomes Group (POG) chaired by the Head of Service. If caseloads increased to a level where risk was increased, this would be discussed at the Performance and Outcomes Board chaired by Terence Herbert. This is not the current situation, whereby the monitoring remains appropriate via SMT and POG. The rag rating is Amber on the basis caseloads are still higher than desired at this time. There is a clear recruitment and retention plan in place and a review or targets being undertaken to ensure the desired caseload per social worker is achievable based on the establishment and considering the % of ASYE's.
FC02	Contracts	Not able to find suitable placements for LAC	3	4	12	▲	-	Link to Risk Action Plan	Red	3	4	12	Strategy rolling out now
FC03		Primary Permanent Exclusions and Secondary alternative provision	3	4	12	▲	-	Working with schools to encourage sign up to SLA for Secondary alternative provision. Currently one school coming back to the agreement and one leaving. Significant project on schools inclusion commencing in September	Amber	3	3	9	
FC04	Finance: Savings delivery	SEND Budget	3	4	12	▶	-	Link to Risk Action Plan	Amber	3	3	9	High Needs Block DSG remains under significant pressure. Review underway but actions will be long term
FC05	Finance: Unforeseen Demand	Overspend in the Children's service placement budget	3	4	12	▲	-	Link to Risk Action Plan	Red	3	3	9	Redesign recruitment Review packages for impact
FC06	Info Gov	Data breach	3	2	6	▶	-	Link to Risk Action Plan	Amber	3	2	6	